# Bressoud's Conjecture on the Rogers-Ramanujan Identities

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Seminar in Partition Theory, *q*-Series and Related Topics virtually @ MTU, Octobor 19, 2023



#### The outline of this talk



#### David M. Bressoud

Analytic and combinatorial generalizations of the Rogers-Ramanujan identities

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- What's Bressoud's conjecture?
- Our Proof: Unraveling Bressoud's Conjecture.
- Discoveries in the Proof of this Conjecture

#### The Rogers-Ramanujan identities

#### Theorem (Rogers-Ramanujan)

For |q| < 1,

$$\sum_{n\geq 0} \frac{q^{n^2}}{(1-q)(1-q^2)\cdots(1-q^n)} = \prod_{j=0}^{\infty} \frac{1}{(1-q^{5j+1})(1-q^{5j+4})} (RR1)$$

$$\sum_{n\geq 0} \frac{q^{n^2+n}}{(1-q)(1-q^2)\cdots(1-q^n)} = \prod_{j=0}^{\infty} \frac{1}{(1-q^{5j+2})(1-q^{5j+3})}$$
(RR2).

These two identities were first proved by Rogers in 1894 and rediscovered by Ramanujan a few years later.

- Ramanujan's comment: It would be difficult to find more beautiful formulas than the Rogers-Ramanujan' identities.
- Hans Rademacher clearly was in agreement with Ramanujan's comments.

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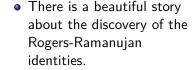
Regional Conference Series in Mathematics

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q-Series: Their Development and Application in Analysis, Number Theory, Combinatorics, Physics, and Computer Algebra

George E. Andrews





- Rogers' reputation as a mathematician rests almost entirely on the discovery of these two identities.
- how they found these two identities have appeared in Baxter's exact solution to the hard hexagon model in statistical mechanics.



# The connection with representation theory of Lie algebras

 The connection between RR identities and the representation theory of Lie algebras was initiated by J. Lepowsky, R.L. Wilson, S. Milne.



- J. Lepowsky and S. Milne, Lie algebraic approaches to classical partition identities, Adv. Math. 29 (1978), 15–59
- J. Lepowsky and R. L. Wilson, A new family of algebras underlying the Rogers-Ramanujan identities, Proc. Nat. Acad. Sci. USA 78 (1981) 7254–7258.
- J. Lepowsky and R. L. Wilson, The structure of standard modules I: universal algebras and the Rogers–Ramanujan identities, Invent. Math. 77 (1984), 199–290.
- J. Lepowsky and R. L. Wilson, The structure of standard modules II: the case  $A_1^{(1)}$ , principal gradation, Invent. Math. 79 (1985), 417–442.

# An Invitation to the ROGERS-RAMANUJAN IDENTITIES





Andrew V. Sills





# Integer partitions

#### Definition

A partition of a positive integer n is a finite nonincreasing sequence of positive integers  $(\pi_1, \, \pi_2, \dots, \pi_\ell)$  such that

$$\pi_1 + \pi_2 + \cdots + \pi_\ell = \mathbf{n}.$$

**Example:** There are five partitions of 4, which are

$$(4), (3,1), (2,2), (2,1,1), (1,1,1,1).$$

# MacMahon's combinatorial interpretation

#### Theorem (MacMahon)

- Let  $A_1(-;1,2,2;n)$  denote the number of partitions of n into parts  $\equiv 1,4 \pmod{5}$  (equivalently,  $\not\equiv 0,\pm 2 \pmod{5}$ );
- Let  $B_1(-;1,2,2;n)$  denote the number of partitions  $\pi=(\pi_1,\pi_2,\ldots,\pi_\ell)$  of n with  $\pi_i-\pi_{i+1}\geq 2$  for  $1\leq i\leq \ell-1$ .

Then for  $n \geq 0$ ,

$$A_1(-;1,2,2;n) = B_1(-;1,2,2;n).$$

$$\sum_{n\geq 0} A_1(-;1,2,2;n)q^n = \frac{1}{(q,q^4;q^5)_{\infty}}$$

$$\stackrel{(RR1)}{=} \sum_{n=0}^{\infty} \frac{q^{n^2}}{(q;q)_n} \stackrel{(=1+3+\dots+2n-1)}{=} = \sum_{n\geq 0} B_1(-;1,2,2;n)q^n.$$

Notation:

$$(a;q)_n = (1-a)(1-aq)\cdots(1-aq^{n-1}) \quad (a;q)_\infty = \lim_{n\to\infty} (a;q)_n,$$
 
$$(a_1,a_2,\ldots,a_k;q)_n = (a_1;q)_n(a_2;q)_n\cdots(a_k;q)_n.$$

# MacMahon's combinatorial interpretation

#### Theorem (MacMahon)

- Let  $A_1(-;1,2,1;n)$  denote the number of partitions of n into parts  $\equiv 2,3 \pmod 5$  (equivalently,  $\not\equiv 0,\pm 1 \pmod 5$ );
- Let  $B_1(-;1,2,1;n)$  denote the number of partitions  $\pi=(\pi_1,\pi_2,\ldots,\pi_\ell)$  of n with  $\pi_i-\pi_{i+1}\geq 2$  for  $1\leq i\leq \ell-1$  and  $\pi_\ell\geq 2$ .

Then for  $n \geq 0$ ,

$$A_1(-;1,2,1;n) = B_1(-;1,2,1;n).$$

$$\begin{split} \sum_{n\geq 0} A_1(-;1,2,1;n) q^n &= \frac{1}{(q^2,q^3;q^5)_{\infty}} \\ &\stackrel{(RR2)}{=} \sum_{n=0}^{\infty} \frac{q^{n^2+n}}{(q;q)_n} &= \sum_{n\geq 0} B_1(-;1,2,1;n) q^n. \end{split}$$

#### Gordon's combinatorial generalization

#### Theorem (Rogers-Ramanujan-Gordon, 1961)

- For  $k \ge r \ge 1$ , let  $A_1(-; 1, k, r, n)$  denote the number of partitions of n into parts  $\not\equiv 0, \pm r \pmod{2k+1}$ .
- For  $k \ge r \ge 1$ , let  $B_1(-; 1, k, r, n)$  denote the number of partitions of n of the form  $(\pi_1, \ldots, \pi_s)$ , where  $\pi_j \pi_{j+k-1} \ge 2$ , and at most r-1 of the  $\pi_j$  are equal to 1.

Then, for  $k \ge r \ge 1$  and  $n \ge 0$ ,

$$A_1(-; 1, k, r; n) = B_1(-; 1, k, r; n).$$

When k=2 and r=2,1, this identity reduces to (RR1) or (RR2). Note: Gordon's theorem was independently discovered by G.E. Andrews, see G.E. Andrews, Some debts I owe.



B. Gordon, A combinatorial generalization of the Rogers-Ramanujan identities, Amer. J. Math 83 (1961) 393–399.

#### The corresponding q-identity due to Andrews

Andrews found the following q-identity, which can be viewed as a companion to Gordon's partition identity.

#### Theorem (Andrews, 1974)

For  $k \ge r \ge 1$ ,

$$\sum_{\substack{N_1 \geq \dots \geq N_{k-1} \geq 0}} \frac{q^{N_1^2 + \dots + N_{k-1}^2 + N_r + \dots + N_{k-1}}}{(q;q)_{N_1 - N_2} \cdots (q;q)_{N_{k-2} - N_{k-1}} (q;q)_{N_{k-1}}}$$

$$= \prod_{\substack{n \geq 0, \pm r \pmod{2k+1} \\ (\bmod{2k+1})}}^{\infty} \frac{1}{1 - q^n}.$$

When k = 2 and r = 2, 1 this identity reduces to (RR1) and (RR1).



G.E. Andrews, An analytic generalization of the Rogers-Ramanujan identities for odd moduli, Proc. Nat. Acad. Sci. USA 71 (1974) 4082–4085.

## The sketch of the proof given by Andrews

Andrews first introduced the following function

$$J_{k,r}(0;x;q) = \sum_{n=0}^{\infty} \frac{x^{kn}q^{kn^2 + (k-r+1)n}(-1)^n q^{\binom{n}{2}}(1 - x^r q^{(2n+1)r})}{(q)_n (xq^{n+1})_{\infty}}$$

He then proved that for  $1 \le i \le k, |q| < 1$ ,

$$J_{k,r}(0;1;q) = \sum_{n\geq 0} A_1(-;1,k,r,n)q^n = \prod_{\substack{n=1\\n\not\equiv 0,\pm r\pmod{2k+1}}}^{\infty} \frac{1}{1-q^n},$$

$$J_{k,r}(0;1;q) = \sum_{n\geq 0} B_1(-;1,k,r,n)q^n$$

$$J_{k,r}(0;1;q) = \sum_{N_1 \geq \cdots \geq N_{k-1} \geq 0} \frac{q^{N_1^2 + \cdots + N_{k-1}^2 + N_r + \cdots + N_{k-1}}}{(q;q)_{N_1 - N_2} \cdots (q;q)_{N_{k-2} - N_{k-1}} (q;q)_{N_{k-1}}}$$

# Kurşungöz's combinatorial proof

By introducing the notion of the Gordon marking of a partition, and defining the forward move and the backward move, Kurşungöz gave a combinatorial proof of the following generating function. For  $k \geq i \geq 1$ ,

$$\begin{split} & \sum_{n \geq 0} B_1(-;1,k,r;n) q^n \\ & = \sum_{N_1 \geq N_2 \geq \dots \geq N_{k-1} \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_{k-1}^2 + N_i + \dots + N_{k-1}}}{(q;q)_{N_1 - N_2}(q;q)_{N_2 - N_3} \cdots (q;q)_{N_{k-1}}}. \end{split}$$

K. Kurşungöz, Parity considerations in Andrews-Gordon identities, European J. Combin. 31 (2010) 976–1000.

# Bressoud's combinatorial generalization

Bressoud observed that Gordon's partition identity only focused on the parts modular the odd numbers. He addressed the case involving the even numbers and derived the following partition identity.

#### Theorem (Bressoud-Rogers-Ramanujan, 1979)

- For  $k \ge r \ge 1$ , let  $A_0(-; 1, k, r, n)$  denote the number of partitions of n into parts  $\not\equiv 0, \pm r \pmod{2k}$ .
- For  $k \ge r \ge 1$ , let  $B_0(-; 1, k, r; n)$  denote the number of partitions of n of the form  $(\pi_1, \ldots, \pi_s)$ , where  $\pi_j \pi_{j+k-1} \ge 2$ , at most r-1 of the  $\pi_j$  are equal to 1.

  If  $\pi_j \pi_{j+k-2} \le 1$ , then  $\pi_j + \cdots + \pi_{j+k-2} \equiv r-1 \pmod{2}$ .

Then, for  $k \ge r \ge 1$  and  $n \ge 0$ ,

$$A_0(-;1,k,r;n) = B_0(-;1,k,r;n).$$



D.M. Bressoud, A generalization of the Rogers-Ramanujan identities for all moduli, J. Combin. Theory, Ser. A 27 (1979) 64–68.

## The sketch of the proof given by Bressoud

Based on the following function

$$J_{k,r}(0;x;q) = \sum_{n=0}^{\infty} \frac{x^{kn}q^{kn^2 + (k-r+1)n}(-1)^n q^{\binom{n}{2}}(1 - x^r q^{(2n+1)r})}{(q)_n (xq^{n+1})_{\infty}}$$

Bressoud then proved that for  $1 \le i \le k, |q| < 1$ ,

$$\begin{array}{lcl} (-q;q)_{\infty}J_{(k-1)/2,r/2}(0;1;q^2) & = & \displaystyle \sum_{n\geq 0}A_0(-;1,k,r;n)q^n \\ \\ & = & \displaystyle \prod_{\stackrel{n=1}{n\neq 0,\pm r\pmod{2k}}}^{\infty}\frac{1}{1-q^n}, \\ \\ (-q;q)_{\infty}J_{(k-1)/2,r/2}(0;1;q^2) & = & \displaystyle \sum_{n\geq 0}B_0(-;1,k,r;n)q^n. \end{array}$$

# The corresponding *q*-identity

Bressoud obtained the following q-identity, which can be viewed as a companion to his partition identity.

#### Theorem (Bressoud, 1980)

For 
$$k \ge r \ge 1$$
,

$$\sum_{\substack{N_1 \geq \dots \geq N_{k-1} \geq 0}} \frac{q^{N_1^2 + \dots + N_{k-1}^2 + N_r + \dots + N_{k-1}}}{(q;q)_{N_1 - N_2} \cdots (q;q)_{N_{k-2} - N_{k-1}} (q^2;q^2)_{N_{k-1}}}$$

$$= \prod_{\substack{n \geq 1 \\ n \not\equiv 0, \pm r \pmod{2k}}}^{\infty} \frac{1}{1 - q^n}.$$



D.M. Bressoud, Analytic and combinatorial generalizations of Rogers-Ramanujan identities, Mem. Amer. Math. Soc. 24(227) (1980) 54pp.

# A general theme

A(n; congruence conditions on parts)



B(n; gap conditions on parts)

## Some classical partition identities

• Euler's partition theorem: The number of partitions of n into parts  $\equiv 1 \pmod{2}$  is equal to the number of partitions  $\pi = (\pi_1, \pi_2, \dots, \pi_\ell)$  of n with  $\pi_i - \pi_{i+1} \ge 1$  for  $1 \le i \le \ell - 1$ .

$$(-q;q)_{\infty} = \frac{1}{(q;q^2)_{\infty}}.$$

• Schur's theorem: The number of partitions of n into parts  $\equiv \pm 1 \pmod{6}$  is equal to the number of partitions  $\pi = (\pi_1, \pi_2, \dots, \pi_\ell)$  of n with  $\pi_i - \pi_{i+1} \geq 3$  for  $1 \leq i \leq \ell - 1$  with strict inequality if  $\pi_i \equiv 0 \pmod{3}$ .

$$\sum_{n \geq 0} \frac{q^{3n^2}}{(q^3; q^3)_n} (-q^{2-3n}; q^3)_n (-q^{1+3n}; q^3)_{\infty} = \frac{1}{(q, q^5; q^6)_{\infty}}.$$



#### Some classical partition identities

• The Göllnitz-Gordon theorem I: The number of partitions of n into parts  $\equiv 1, 4$ , or  $7 \pmod 8$  is equal to the number of partitions  $\pi = (\pi_1, \pi_2, \dots, \pi_\ell)$  of n with  $\pi_i - \pi_{i+1} \geq 2$  for  $1 \leq i \leq \ell - 1$  with strict inequality if  $\pi_i \equiv 0 \pmod 2$ .

$$\sum_{n>0} \frac{q^{n^2}(-q;q^2)_n}{(q^2;q^2)_n} = \frac{1}{(q,q^4,q^7;q^8)_{\infty}}.$$

• The Göllnitz-Gordon theorem II: The number of partitions of n into parts  $\equiv 3,4, \text{ or } 5 \pmod 8$  is equal to the number of partitions  $\pi=(\pi_1,\pi_2,\ldots,\pi_\ell)$  of n with  $\pi_i-\pi_{i+1}\geq 2$  for  $1\leq i\leq \ell-1$  with strict inequality if  $\pi_i\equiv 0\pmod 2$ . Furthermore,  $\pi_\ell\geq 3$ .

$$\sum_{n>0} \frac{q^{n^2+2n}(-q;q^2)_n}{(q^2;q^2)_n} = \frac{1}{(q^3,q^4,q^5;q^8)_{\infty}}.$$

# Andrews' combinatorial generalization

#### Theorem (Andrews-Göllnitz-Gordon, 1967)

- For  $k \ge r \ge 1$ , let  $A_1(1; 2, k, r, n)$  denote the number of partitions of n into parts  $\not\equiv 2 \pmod 4$  and  $\not\equiv 0, \pm (2r-1) \pmod {4k}$ .
- For  $k \ge r \ge 1$ , let  $B_1(1; 2, k, r, n)$  denote the number of partitions of n of the form  $(\pi_1, \ldots, \pi_s)$  such that no odd part is repeated,  $\pi_j \pi_{j+k-1} \ge 2$  with strict inequality if  $\pi_j$  is even, and at most r-1 of the  $\pi_j$  are less than or equal to 2.

Then, for  $k \ge r \ge 1$  and  $n \ge 0$ ,

$$A_1(1; 2, k, r; n) = B_1(1; 2, k, r; n).$$

When k = 2 and r = 1, this theorem reduces to GGT-II. When k = 2 and r = 2, this theorem reduces to GGT-I.



#### The sketch of the proof

Andrews first introduced the following function

$$H_{k,r}(a;x;q) = \sum_{n=0}^{\infty} \frac{x^{kn} q^{kn^2 + (k-r)n} a^n (1 - x^r q^{2nr}) (axq^{n+1})_{\infty} (a^{-1})_n}{(q)_n (xq^n)_{\infty}}$$

and let

$$J_{k,r}(a;x;q) = H_{k,r}(a;xq;q) - axqH_{k,r-1}(a;xq;q).$$

He then proved that for  $1 \le i \le k, |q| < 1$ ,

$$\begin{array}{lcl} J_{k,r}(-q^{-1};1;q^2) & = & \displaystyle \sum_{n\geq 0} A_1(1;2,k,r;n) q^n \\ \\ & = & \displaystyle \frac{(q^2;q^4)_{\infty}(q^{2r-1},q^{4k-2r+1},q^{4k};q^{4k})_{\infty}}{(q;q)_{\infty}}, \end{array}$$

$$J_{k,r}(-q^{-1};1;q^2) = \sum_{n>0} B_1(1;2,k,r;n)q^n.$$

# The corresponding *q*-identity

#### Theorem (Bressoud, equation (3.8))

For  $k \ge r \ge 1$ ,

$$\begin{split} & \sum_{N_1 \geq \dots \geq N_{k-1} \geq 0} \frac{(-q^{1-2N_1}; q^2)_{N_1} q^{2(N_1^2 + \dots + N_{k-1}^2 + N_r + \dots + N_{k-1})}}{(q^2; q^2)_{N_1 - N_2} \cdots (q^2; q^2)_{N_{k-2} - N_{k-1}} (q^2; q^2)_{N_{k-1}}} \\ &= \frac{(q^2; q^4)_{\infty} (q^{2r-1}, q^{4k-2r+1}, q^{4k}; q^{4k})_{\infty}}{(q; q)_{\infty}}. \end{split}$$



D.M. Bressoud, Analytic and combinatorial generalizations of Rogers-Ramanujan identities, Mem. Amer. Math. Soc. 24(227) (1980) 54pp.

#### A further generalization

Assume that  $\alpha_1, \alpha_2, \ldots, \alpha_{\lambda}$  and  $\eta$  are integers such that for  $1 \leq i \leq \lambda$ ,  $0 < \alpha_1 < \cdots < \alpha_{\lambda} < \eta$ , and  $\alpha_i = \eta - \alpha_{\lambda+1-i}$ .

#### Theorem (Bressoud, Mem. Amer. Math. Soc., 1980)

Let  $\lambda,\ k,\ r$  and j=0 or 1 be the integers such that  $(2k+j)/2>r\geq\lambda\geq0.$  Then

$$\begin{split} \sum_{N_1 \geq \dots \geq N_{k-1} \geq 0} \frac{q^{\eta(N_1^2 + \dots + N_{k-1}^2 + N_r + \dots + N_{k-1})}}{(q^{\eta}; q^{\eta})_{N_1 - N_2} \cdots (q^{\eta}; q^{\eta})_{N_{k-2} - N_{k-1}} (q^{(2-j)\eta}; q^{(2-j)\eta})_{N_{k-1}}} \\ \times \prod_{s=1}^{\lambda} (-q^{\eta - \alpha_s - \eta N_s}; q^{\eta})_{N_s} \prod_{s=2}^{\lambda} (-q^{\eta - \alpha_s + \eta N_{s-1}}; q^{\eta})_{\infty} \\ &= \frac{(-q^{\alpha_1}, \dots, -q^{\alpha_{\lambda}}; q^{\eta})_{\infty} (q^{\eta(r - \frac{\lambda}{2})}, q^{\eta(2k - r - \frac{\lambda}{2} + j)}, q^{\eta(2k - \lambda + j)}; q^{\eta(2k - \lambda + j)})_{\infty}}{(q^{\eta}; q^{\eta})_{\infty}}. \end{split}$$

#### The $A_i$ -partition function

#### Definition

Let  $\lambda,\ k,\ r$  and j=0 or 1 be the integers such that  $(2k+j)/2>r\geq \lambda\geq 0$ . Define the partition function  $A_j(\alpha_1,\ldots,\alpha_\lambda;\eta,k,r;n)$  to be the number of partitions of n into parts congruent to  $0,\alpha_1,\ldots,\alpha_\lambda\pmod{\eta}$  such that

- If  $\lambda$  is even, then only multiples of  $\eta$  may be repeated and no part is congruent to  $0, \pm \eta(r \lambda/2) \pmod{\eta(2k \lambda + j)}$ ;
- If  $\lambda$  is odd and j=1, then only multiples of  $\eta/2$  may be repeated, no part is congruent to  $\eta \pmod{2\eta}$ , and no part is congruent to  $0, \pm \eta(2r-\lambda)/2 \pmod{\eta(2k-\lambda+1)}$ ;
- If  $\lambda$  is odd and j=0, then only multiples of  $\eta/2$  which are not congruent to  $\eta(2k-\lambda)/2\pmod{\eta(2k-\lambda)}$  may be repeated, no part is congruent to  $\eta\pmod{2\eta}$ , no part is congruent to  $0\pmod{2\eta(2k-\lambda)}$ , and no part is congruent to  $\pm \eta(2r-\lambda)/2\pmod{\eta(2k-\lambda)}$ .

Remark: Recall that  $\alpha_1,\alpha_2,\ldots,\alpha_{\lambda}$  and  $\eta$  are integers such that for  $1\leq i\leq \lambda$ ,  $0<\alpha_1<\cdots<\alpha_{\lambda}<\eta,\quad \text{and}\quad \alpha_i=\eta-\alpha_{\lambda+1-i}.$  When  $\lambda$  is odd, observing that

$$\eta = \alpha_{(\lambda+1)/2} + \alpha_{\lambda+1-(\lambda+1)/2} = 2\alpha_{(\lambda+1)/2},$$

we see that  $\eta$  must be even in such case.



# The generating function of $A_i$ -partition function

By the definition, it's not difficult to see that for  $k \geq r \geq \lambda \geq 0$ ,  $k+j-1 \geq \lambda$  and j=0 or 1,

$$\begin{split} &\sum_{n\geq 0} A_j(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n)q^n \\ &= (-q^{\alpha_1},\ldots,-q^{\alpha_{\lambda}};q^{\eta})_{\infty} \\ &\times \frac{(q^{\eta(r-\frac{\lambda}{2})},q^{\eta(2k-r-\frac{\lambda}{2}+j)},q^{\eta(2k-\lambda+j)};q^{\eta(2k-\lambda+j)})_{\infty}}{(q^{\eta};q^{\eta})_{\infty}}. \end{split}$$

#### The $B_i$ -partition function

#### Definition

Let  $\lambda$ , k, r and j=0 or 1 be the integers such that  $(2k+j)/2 > r \ge \lambda \ge 0$ . Define  $B_j(\alpha_1,\ldots,\alpha_\lambda;\eta,k,r,n)$  to be the number of partitions of n of the form  $(\pi_1,\ldots,\pi_s)$  where  $\pi_j \ge \pi_{j+1}$  satisfying the following conditions:

- (1)  $\pi_i \equiv 0, \alpha_1, \ldots, \alpha_{\lambda} \pmod{\eta}$ ;
- (2) Only multiples of  $\eta$  may be repeated;
- (3)  $\pi_i \pi_{i+k-1} \ge \eta$  with strict inequality if  $\eta \mid \pi_i$ ;
- (4) At most r-1 of the  $\pi_i$  are less than or equal to  $\eta$ ;
- (5) If  $\pi_i \le \pi_{i+k-2} + \eta$  with strict inequality if  $\eta \nmid \pi_i$ , then  $[\pi_i/\eta] + \dots + [\pi_{i+k-2}/\eta] \equiv r 1 + V_{\pi}(\pi_i) \pmod{2-j},$

where  $V_{\pi}(N)$  (or V(N) for short) denotes the number of parts not exceeding N which are not divided by  $\eta$  in  $\pi$  and [] denotes the greatest integer function.

# Bressoud's conjecture

#### Conjecture (Bressoud)

Let  $\lambda,\ k,\ r$  and j=0 or 1 be the integers such that  $(2k+j)/2>r\geq\lambda\geq0.$  Then

$$\begin{split} & \sum_{n \geq 0} B_{j}(\alpha_{1}, \dots, \alpha_{\lambda}; \eta, k, r; n) q^{n} \\ & = \sum_{N_{1} \geq \dots \geq N_{k-1} \geq 0} \frac{q^{\eta(N_{1}^{2} + \dots + N_{k-1}^{2} + N_{r} + \dots + N_{k-1})}}{(q^{\eta}; q^{\eta})_{N_{1} - N_{2}} \cdots (q^{\eta}; q^{\eta})_{N_{k-2} - N_{k-1}} (q^{(2-j)\eta}; q^{(2-j)\eta})_{N_{k-1}}} \\ & \times \prod_{s=1}^{\lambda} (-q^{\eta - \alpha_{s} - \eta N_{s}}; q^{\eta})_{N_{s}} \prod_{s=2}^{\lambda} (-q^{\eta - \alpha_{s} + \eta N_{s-1}}; q^{\eta})_{\infty}. \end{split}$$



D.M. Bressoud, Analytic and combinatorial generalizations of Rogers-Ramanujan identities, Mem. Amer. Math. Soc. 24(227) (1980) 54pp.

# Bressoud's conjecture II

#### Conjecture (Bressoud)

Let  $\lambda$ , k, r and j=0 or 1 be the integers such that  $(2k+j)/2 > r \ge \lambda \ge 0$ . Then for  $n \ge 0$ ,

$$A_j(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n)=B_j(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n).$$



D.M. Bressoud, Analytic and combinatorial generalizations of Rogers-Ramanujan identities, Mem. Amer. Math. Soc. 24(227) (1980) 54pp.

# Special cases

- Euler's partition theorem:  $\lambda = 0, \eta = 1, j = 0, k = 3, r = 2.$
- Shur's theorem:  $\lambda = k = r = 2, \alpha_1 = 1, \alpha_2 = 2, \eta = 3, j = 1.$
- Rogers-Ramanujan identities:  $\lambda = 0, \eta = 1, j = 1, k = 2, r = 1$  or 2.
- Rogers-Ramanujan-Gordon identity:  $\lambda = 0, \eta = 1, j = 1$ .
- Rogers-Ramanujan-Bressoud identity:  $\lambda = 0, \eta = 1, j = 0.$
- The Göllnitz-Gordon identity I, II:  $\lambda=1, \alpha_1=1, \eta=2, j=1, k=2, r=1 \text{ or } 2.$
- Andrews' generalization of the Göllnitz-Gordon identity:  $\lambda=1, \alpha_1=1, \eta=2, j=1.$
- Bressoud's generalization of the Göllnitz-Gordon identity:  $\lambda=1, \alpha_1=1, \eta=2, j=0.$

#### Bressoud-Göllnitz-Gordon theorem

#### Theorem (Bressoud-Göllnitz-Gordon)

- For  $k \ge r \ge 1$ , let  $A_0(1; 2, k, r, n)$  denote the number of partitions of n into parts  $\not\equiv 2 \pmod 4$ ,  $\not\equiv 2k-1 \pmod 4k-2$  may be repeated, no part is multiples of 8k-4, and  $\not\equiv \pm (2r-1) \pmod 4k-2$ .
- For  $k \geq r \geq 1$ , let  $B_0(1; 2, k, r; n)$  denote the number of partitions of n of the form  $(\pi_1, \dots, \pi_s)$  such that no odd part is repeated,  $\pi_j \pi_{j+k-1} \geq 2$  with strict inequality if  $\pi_j$  is even, at most r-1 of the  $\pi_j$  are less than or equal to 2, and if  $\pi_j \pi_{j+k-2} \leq 2$  with strict inequality if  $\pi_j$  is odd, then  $\pi_j + \dots + \pi_{j+k-2} \equiv r-1 + V_{\pi}(\pi_j) \pmod 2$ ,

where  $V_{\pi}(N)$  (or V(N) for short) denotes the number of odd parts not exceeding N in  $\pi$ .

For  $k \ge r \ge 1$  and  $n \ge 0$ ,

$$A_0(1; 2, k, r; n) = B_0(1; 2, k, r; n).$$



D.M. Bressoud, Analytic and combinatorial generalizations of Rogers-Ramanujan identities, Mem. Amer. Math. Soc. 24(227) (1980) 54pp.

# The corresponding *q*-identities

#### Theorem

For  $k \ge r \ge 1$ ,

$$\sum_{N_1 \ge \dots \ge N_{k-1} \ge 0} \frac{(-q^{1-2N_1}; q^2)_{N_1} q^{2(N_1^2 + \dots + N_{k-1}^2 + N_r + \dots + N_{k-1})}}{(q^2; q^2)_{N_1 - N_2} \cdots (q^2; q^2)_{N_{k-2} - N_{k-1}} (q^4; q^4)_{N_{k-1}}}$$

$$= \frac{(q^2; q^4)_{\infty} (q^{2r-1}, q^{4k-2r-1}, q^{4k-2}; q^{4k-2})_{\infty}}{(q; q)_{\infty}}.$$



D.M. Bressoud, Analytic and combinatorial generalizations of Rogers-Ramanujan identities, Mem. Amer. Math. Soc. 24(227) (1980) 54pp.

## Some progress on Bressoud's conjecture

• S. Kim and A.J. Yee (2014) gave a proof of Bressoud's conjecture for j=1 and  $\lambda=2$ .



S. Kim and A.J. Yee, Partitions with part difference conditions and Bressoud's conjecture, J. Combin. Theory Ser. A 126 (2014) 35–69.

Let  $\lambda$ , k, r be the integers such that  $k \ge r \ge \lambda \ge 0$ . Then

$$\begin{split} &\sum_{n\geq 0} B_{1}(\alpha_{1},\alpha_{2};\eta,k,r;n)q^{n} \\ &= \sum_{N_{1}\geq \cdots \geq N_{k-1}\geq 0} \frac{q^{\eta(N_{1}^{2}+\cdots+N_{k-1}^{2}+N_{r}+\cdots+N_{k-1})}}{(q^{\eta};q^{\eta})_{N_{1}-N_{2}}\cdots(q^{\eta};q^{\eta})_{N_{k-2}-N_{k-1}}(q^{\eta};q^{\eta})_{N_{k-1}}} \\ &\times (-q^{\eta-\alpha_{1}-\eta N_{1}};q^{\eta})_{N_{1}}(-q^{\eta-\alpha_{2}-\eta N_{2}};q^{\eta})_{N_{2}}(-q^{\eta-\alpha_{2}+\eta N_{1}};q^{\eta})_{\infty} \end{split}$$

# Some progress on Bressoud's conjecture

• S. Kim (2018) proved Bressoud's conjecture holds for j = 1.



S. Kim, Bressoud's conjecture, Adv. Math. 325 (2018) 770-813.

$$\begin{split} &\sum_{n\geq 0} B_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n)q^n \\ &= (-q^{\alpha_1},\ldots,-q^{\alpha_{\lambda}};q^{\eta})_{\infty} \\ &\times \frac{(q^{\eta(r-\frac{\lambda}{2})},q^{\eta(2k-r-\frac{\lambda}{2}+1)},q^{\eta(2k-\lambda+1)};q^{\eta(2k-\lambda+1)})_{\infty}}{(q^{\eta};q^{\eta})_{\infty}} \\ &= \sum_{n\geq 0} A_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n)q^n. \end{split}$$

• When  $\lambda = 2$ , and note that  $\alpha_1 + \alpha_2 = \eta$ ,

$$\begin{split} & \sum_{n \geq 0} \frac{\textit{B}_{1}(\alpha_{1}, \alpha_{2}; \eta, \textit{k}, \textit{r}, \textit{n})\textit{q}^{n}}{ \\ & = (-\textit{q}^{\alpha_{1}}, -\textit{q}^{\alpha_{2}}; \textit{q}^{\eta})_{\infty} \times \frac{(\textit{q}^{\eta(r-1)}, \textit{q}^{\eta(2k-r)}, \textit{q}^{\eta(2k-1)}; \textit{q}^{\eta(2k-1)})_{\infty}}{(\textit{q}^{\eta}; \textit{q}^{\eta})_{\infty}} \end{split}$$

# Some progress on Bressoud's conjecture

- Recently, we proved Bressoud's conjecture holds for j = 0.
  - Thomas Y. He, Kathy Q. Ji and Alice X.H. Zhao, Overpartitions and Bressoud's conjecture, I, Adv. Math. 404 (2022), Paper No. 108449, 81 pp.
  - Thomas Y. He, Kathy Q. Ji and Alice X.H. Zhao, Overpartitions and Bressoud's conjecture, II, submitted. pp.53.

## Overpartition

#### Definition (Corteel and Lovejoy, 2004)

An overpartition  $\pi$  of n is a partition of n in which the first occurrence of a number can be overlined.

For example: There are fourteen overpartitions of 4.

Let  $\bar{p}(n)$  denote the number of overpartitions of n. Then

$$\sum_{n\geq 0} \bar{p}(n)q^n = \frac{(-q;q)_{\infty}}{(q;q)_{\infty}}.$$



S. Corteel and J. Lovejoy, Overpartitions, Trans. Amer. Math. Soc. 356 (2004), no. 4, 1623–1635.

Except appearing in the theory of partitions and q-series, overpartitions arise in the following areas:

Symmetric functions



F. Brenti, Determinants of super-Schur functions, lattice paths, and dotted plane partitions, Adv. Math. 98 (1999) 27–64. (dotted partitions)



P. Desrosiers, L. Lapointe and P. Mathieu, Classical symmetric functions in superspace, J. Algebraic Combin 24 (2006) 209–238. (superpartitions)

#### Representation theory

- S.-J. Kang and J.-H. Kwon, Crystal bases of the Fock space representations and string functions, J. Algebra 280 (2004) 313–349.
- Mathematical physics (jagged partitions)
  - J.-F. Fortin, P. Jacob and P. Mathieu, Jagged partitions, Ramanujan J. 10 (2005) 215–235.
  - J.-F. Fortin, P. Jacob and P. Mathieu, Generating function for K-restricted jagged partitions, Electron. J. Comb. 12 (2005) 17 p.
- Combinatorics
  - C. Bessenrodt and I. Pak, Partition congruences by involutions, European J. Comb. 25 (2004) 1139–1149. Joint partitions
  - I. Pak, Partition Bijections, a Survey, Ramanujan J. 12 (2006) 5–75. (Standard MacMahon diagrams (Pak, Section 2.1.3 ))

# An overpartition analogue of Rogers-Ramanujan-Gordon

## Theorem (Chen-Shi-Sang, 2013)

- For  $k > r \ge 1$ , let  $\overline{A}_1(-;1,k,r;n)$  denote the number of overpartitions of n such that non-overlined parts  $\not\equiv 0, \pm r \pmod{2k}$ , and for k = r, let  $\overline{A}_1(-;1,k,k;n)$  denote the number of overpartitions of n into parts not divided by k.
- For  $k \geq r \geq 1$ , let  $\overline{B}_1(-;1,k,r;n)$  denote the number of overpartitions  $\pi$  of n of the form  $(\pi_1,\ldots,\pi_s)$ , where  $\overline{\pi_i-\pi_{i+k-1}}\geq 1$  with strict inequality if  $\pi_i$  is non-overlined, and at most r-1 of the  $\pi_i$  are equal to 1.

Then for  $k \ge r \ge 1$  and  $n \ge 0$ ,

$$\overline{A}_1(-; 1, k, r; n) = \overline{B}_1(-; 1, k, r; n).$$



W.Y.C. Chen, D.D.M. Sang and D.Y.H. Shi, The Rogers-Ramanujan-Gordon theorem for overpartitions, Proc. London Math. Soc. 106 (3) (2013) 1371–1393.

## The corresponding *q*-identities

## Theorem (Chen-Shi-Sang, 2013)

$$\sum_{N_1 \geq \cdots \geq N_{k-1}} \frac{(-q^{1-N_1};q)_{N_1-1}(1+q^{N_r})q^{N_1^2+\cdots+N_{k-1}^2+N_{r+1}+\cdots+N_{k-1}}}{(q;q)_{N_1-N_2}\cdots (q;q)_{N_{k-2}-N_{k-1}}(q;q)_{N_{k-1}}}$$

$$=\frac{(-q;q)_{\infty}(q^r,q^{2k-r},q^{2k};q^{2k})_{\infty}}{(q;q)_{\infty}}.$$



W.Y.C. Chen, D.D.M. Sang and D.Y.H. Shi, The Rogers-Ramanujan-Gordon thoerem for overpartitions, Proc. London Math. Soc. 106 (3) (2013) 1371–1393.

## The sketch of the proof

Based on the following function

$$H_{k,r}(a;x;q) = \sum_{n=0}^{\infty} \frac{x^{kn} q^{kn^2 + (k-r)n} a^n (1 - x^r q^{2nr}) (axq^{n+1})_{\infty} (a^{-1})_n}{(q)_n (xq^n)_{\infty}}$$

Chen, Sang and Shi then proved that for  $1 \le i \le k, |q| < 1$ ,

$$\begin{array}{lcl} H_{k,r}(-1/q;q;q) & = & \displaystyle \sum_{n\geq 0} \overline{A}_1(-;1,k,r;n)q^n \\ \\ & = & \displaystyle \frac{(-q;q)_{\infty}(q^r,q^{2k-r},q^{2k};q^{2k})_{\infty}}{(q;q)_{\infty}}, \\ \\ H_{k,r}(-1/q;q;q) & = & \displaystyle \sum_{n\geq 0} \overline{B}_1(-;1,k,r;n)q^n \end{array}$$

$$n \ge 0$$

$$= \sum_{N_1 \geq \cdots \geq N_{k-1}} \frac{(-q^{1-N_1};q)_{N_1-1}(1+q^{N_r})q^{N_1^2+\cdots+N_{k-1}^2+N_{r+1}+\cdots+N_{k-1}}}{(q;q)_{N_1-N_2}\cdots (q;q)_{N_{k-2}-N_{k-1}}(q;q)_{N_{k-1}}}$$

## An overpartition analogue of Bressoud-Gordon-identities

#### Theorem (Chen-Sang-Shi)

- For  $k \ge r \ge 1$ , let  $\overline{A}_0(-; 1, k, r, n)$  denote the number of <u>overpartitions</u> of n such that non-overlined parts  $\not\equiv 0, \pm r \pmod{2k-1}$ ;
- For  $k \geq r \geq 1$ , let  $\overline{B}_0(-;1,k,r,n)$  denote the number of overpartitions  $\pi = (\pi_1,\pi_2,\ldots,\pi_\ell)$  of n, where  $\pi_i \geq \pi_{i+k-1}+1$  with strict inequality if  $\pi_i$  is non-overlined for  $1 \leq i \leq \ell-k+1$ , at most r-1 of the  $\pi_i$  are equal to 1, and for  $1 \leq i \leq \ell-k+2$ , if  $\pi_i \leq \pi_{i+k-2}+1$  with strict inequality if  $\pi_i$  is overlined, then  $\pi_i+\cdots+\pi_{i+k-2}\equiv r-1+\overline{V}_\pi(\pi_i) \pmod 2$ , where  $\overline{V}_\pi(N)$  (or  $\overline{V}_\pi(N)$  for short) denotes the number of overlined parts not

exceeding N in  $\pi$ . Then, for k > r > 1 and n > 0,

$$\overline{A}_0(-; 1, k, r; n) = \overline{B}_0(-; 1, k, r; n).$$



# The corresponding analytic identities

## Theorem (Sang-Shi, 2015)

$$\begin{split} & \sum_{N_1 \geq \dots \geq N_{k-1} \geq 0} \frac{q^{N_1^2 + \dots + N_{k-1}^2 + N_r + \dots + N_{k-1}} (1 + q^{-N_r}) (-q^{1-N_1}; q)_{N_1 - 1}}{(q; q)_{N_1 - N_2} \cdots (q; q)_{N_{k-2} - N_{k-1}} (q^2; q^2)_{N_{k-1}}} \\ & = \frac{(-q; q)_{\infty} (q^r, q^{2k-r-1}, q^{2k-1}; q^{2k-1})_{\infty}}{(q; q)_{\infty}}. \end{split}$$



D.D.M. Sang and D.Y.H. Shi, An Andrews-Gordon type identity for overpartitions, Ramanujan J. 37 (2015) 653-679.

# The overpartition analogue of $A_i$ -partition function

### Definition $(\overline{A}_{j}$ -partition function)

Let  $\lambda,\ k,\ r$  and j=0 or 1 be the integers such that  $(2k+1-j)/2>r\geq \lambda\geq 0$  and  $k+j-1>\lambda$ . Define  $\overline{A}_j(\alpha_1,\ldots,\alpha_\lambda;\eta,k,r,n)$  to be the number of overpartitions of n satisfying  $\pi_i\equiv 0,\alpha_1,\ldots,\alpha_\lambda\pmod{\eta}$  such that

- If  $\lambda$  is even, then only multiplies of  $\eta$  may be non-overlined and there is no non-overlined part congruent to  $0, \pm \eta(r-\lambda/2) \pmod{\eta(2k-\lambda+j-1)}$ ;
- If  $\lambda$  is odd and j=1, then only multiples of  $\eta/2$  may be non-overlined, no non-overlined part is congruent to  $\eta(2k-\lambda)/2\pmod{\eta(2k-\lambda)}$ , no non-overlined part is congruent to  $\eta\pmod{2\eta}$ , no non-overlined part is congruent to 0  $\pmod{2\eta(2k-\lambda)}$ , no non-overlined part is congruent to  $\pm \eta(2r-\lambda)/2\pmod{\eta(2k-\lambda)}$ , and no overlined part is congruent to  $\eta/2\pmod{\eta}$  and not congruent to  $\eta/2k-\lambda/2\pmod{\eta(2k-\lambda)}$ ;
- If  $\lambda$  is odd and j=0, then only multiples of  $\eta/2$  may be non-overlined, no non-overlined part is congruent to  $\eta \pmod{2\eta}$ , no non-overlined part is congruent to  $0, \pm \eta(2r-\lambda)/2 \pmod{\eta(2k-\lambda-1)}$ , and no overlined part is congruent to  $\eta/2 \pmod{\eta}$ .
- Thomas Y. He, Kathy Q. Ji and Alice X.H. Zhao, Overpartitions and Bressoud's conjecture, I, Adv. Math. 404 (2022), Paper No. 108449, 81 pp.

# The overpartition analogue of $B_i$ -partition function

## Definition ( $\overline{B}_{i}$ -partition function)

Let  $\lambda$ , k, r and j=0 or 1 be the integers such that  $k \geq r \geq \lambda \geq 0$  and  $k-1+j>\lambda$ . Define  $\overline{B}_j(\alpha_1,\ldots,\alpha_\lambda;\eta,k,r;n)$  to be the number of <u>overpartitions</u> of n of the form  $(\pi_1,\ldots,\pi_s)$  where  $\pi_i \geq \pi_{i+1}$  satisfying the following conditions:

- (1)  $\pi_i \equiv 0, \alpha_1, \ldots, \alpha_{\lambda} \pmod{\eta}$ ;
- (2) Only multiples of  $\eta$  may be non-overlined;
- (3)  $\pi_i \ge \pi_{i+k-1} + \eta$  with strict inequality if  $\pi_i$  is non-overlined;
- (4) At most r-1 of the  $\pi_i$  are less than or equal to  $\eta$ ;
- (5) If  $\pi_i \le \pi_{i+k-2} + \eta$  with strict inequality if  $\pi_i$  is overlined, then  $[\pi_i/\eta] + \dots + [\pi_{i+k-2}/\eta] \equiv r 1 + \overline{V}(\pi_i) \pmod{2-j}.$

## Remark

- Observe that for an overpartition  $\pi$  counted by  $\overline{B}_j(\alpha_1, \ldots, \alpha_{\lambda}; \eta, k, r, n)$  without overlined parts divisible by  $\eta$ , if we change overlined parts in  $\pi$  to non-overlined parts, then we get an ordinary partition counted by  $B_j(\alpha_1, \ldots, \alpha_{\lambda}; \eta, k, r, n)$ .
- Hence we say that  $\overline{B}_j(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r; n)$  can be considered as an overpartition analogue of  $B_j(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r; n)$ .
- Similarly,

$$\overline{\mathsf{A}}_0(\alpha_1,\ldots,\alpha_{\lambda};\eta,\mathsf{k},\mathsf{r};\mathsf{n}) \to \mathsf{A}_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,\mathsf{k},\mathsf{r};\mathsf{n})$$

$$\overline{\mathbf{A}}_{1}(\alpha_{1},\ldots,\alpha_{\lambda};\eta,\mathbf{k},\mathbf{r},\mathbf{n}) \rightarrow \mathbf{A}_{0}(\alpha_{1},\ldots,\alpha_{\lambda};\eta,\mathbf{k},\mathbf{r},\mathbf{n})$$

# The generating function of $\overline{A}_j$

By definition, it is easy to see that for  $k \ge r \ge \lambda \ge 0$ ,  $k+j-1 > \lambda$  and j=0 or 1,

$$\begin{split} &\sum_{n\geq 0} \overline{A}_{j}(\alpha_{1},\ldots,\alpha_{\lambda};\eta,k,r;n)q^{n} \\ &= (-q^{\alpha_{1}},\ldots,-q^{\alpha_{\lambda}};q^{\eta})_{\infty}(-q^{\eta};q^{\eta})_{\infty} \\ &\times \frac{(q^{\eta(r-\frac{\lambda}{2})},q^{\eta(2k-r-\frac{\lambda}{2}+j-1)},q^{\eta(2k-\lambda+j-1)};q^{\eta(2k-\lambda+j-1)})_{\infty}}{(q^{\eta};q^{\eta})_{\infty}}. \end{split}$$

Hence, we have

$$\sum_{n\geq 0} \overline{A}_{j}(\alpha_{1}, \dots, \alpha_{\lambda}; \eta, k, r; n) q^{n}$$

$$= (-q^{\eta}; q^{\eta})_{\infty} \sum_{n\geq 0} A_{1-j}(\alpha_{1}, \dots, \alpha_{\lambda}; \eta, k, r; n) q^{n}.$$

# The relation between $\overline{B}_1$ and $B_0$

## Theorem (He-Ji-Zhao, 2022)

For 
$$k \ge r \ge \lambda \ge 0$$
 and  $k > \lambda \ge 0$ ,

$$\sum_{n\geq 0} \overline{B}_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n) q^n$$

$$= (-q^{\eta}; q^{\eta})_{\infty} \sum_{n \geq 0} {\color{red}B_0(\alpha_1, \ldots, \alpha_{\lambda}; \eta, k, r, n)} q^n.$$



Thomas Y. He, Kathy Q. Ji and Alice X.H. Zhao, Overpartitions and Bressoud's conjecture, I, Adv. Math. 404 (2022), Paper No. 108449, 81 pp.

# The sketch of the proof of Bressoud's conjecture

$$(-q^{\eta}; q^{\eta})_{\infty} \sum_{n \geq 0} A_0(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

$$= \sum_{n \geq 0} \overline{A}_1(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

$$\stackrel{?????}{=} \sum_{n \geq 0} \overline{B}_1(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

$$\stackrel{M1}{=} (-q^{\eta}; q^{\eta})_{\infty} \sum_{n \geq 0} B_0(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

•

# An overpartition analogue of Bressoud's conjecture for j = 1

By generalizing Kim's method, we main show that

$$\sum_{n\geq 0} \overline{B}_{1}(\alpha_{1}, \dots, \alpha_{\lambda}; \eta, k, r, n) q^{n}$$

$$= \frac{(-q^{\alpha_{1}}, \dots, -q^{\alpha_{\lambda}}, -q^{\eta}; q^{\eta})_{\infty}(q^{\eta(r-\frac{\lambda}{2})}, q^{\eta(2k-r-\frac{\lambda}{2})}, q^{\eta(2k-\lambda)}; q^{\eta(2k-\lambda)})}{(q^{\eta}; q^{\eta})_{\infty}}$$

$$= \sum_{n\geq 0} \overline{A}_{1}(\alpha_{1}, \dots, \alpha_{\lambda}; \eta, k, r, n) q^{n}.$$

## Theorem (He-Ji-Zhao)

Let  $\lambda$ , k, r and  $\eta$  be the integers such that  $k \geq r \geq \lambda \geq 0$  and  $k > \lambda$ . Then for n > 0,

$$\overline{A}_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n) = \overline{B}_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r,n).$$



Thomas Y. He, Kathy Q. Ji and Alice X.H. Zhao, Overpartitions and Bressoud's conjecture, II, submitted. arXiv: 2001.00162.

# The sketch of the proof of $\overline{A}_1 = \overline{B}_1$

By generalizing Kim's method, we main show that

$$\begin{split} &\sum_{n\geq 0} \overline{B}_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r;n)q^n \\ &= \frac{(-q^{\alpha_1},\ldots,-q^{\alpha_{\lambda}},-q^{\eta};q^{\eta})_{\infty}(q^{\eta(r-\frac{\lambda}{2})},q^{\eta(2k-r-\frac{\lambda}{2})},q^{\eta(2k-\lambda)};q^{\eta(2k-\lambda)})}{(q^{\eta};q^{\eta})_{\infty}} \\ &= \sum_{n\geq 0} \overline{A}_1(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,r;n)q^n. \end{split}$$

When  $\lambda=2$ , and note that  $\alpha_1+\alpha_2=\eta$ ,

$$\sum_{n\geq 0} \overline{B}_1(\alpha_1, \alpha_2; \eta, k, r, n) q^n$$

$$=(-\textit{q}^{\alpha_{1}},-\textit{q}^{\alpha_{2}};\textit{q}^{\eta})_{\infty}\times\frac{(-\textit{q}^{\eta};\textit{q}^{\eta})_{\infty}(\textit{q}^{\eta(r-1)},\textit{q}^{\eta(2k-r-1)},\textit{q}^{\eta(2k-2)};\textit{q}^{\eta(2k-2)})_{\infty}}{(\textit{q}^{\eta};\textit{q}^{\eta})_{\infty}}$$

$$\stackrel{Chen-Sang-Shi}{=} (-q^{\alpha_1},-q^{\alpha_2};q^{\eta})_{\infty} \times \sum_{n} \overline{B}_1(-;\eta,k-1,r-1;n)q^n.$$

# The sketch of proof of the relation between $\overline{B}_1$ and $B_0$

$$\begin{split} \sum_{n\geq 0} \overline{B}_{1}(\alpha_{1},\ldots,\alpha_{\lambda};\eta,k,r;n)q^{n} \\ &= (-q^{\eta};q^{\eta})_{\infty} \sum_{n\geq 0} B_{0}(\alpha_{1},\ldots,\alpha_{\lambda};\eta,k,r;n)q^{n}. \end{split}$$

Let  $\mathcal{D}_{\eta}$  denote the set of partitions with distinct parts divisible by  $\eta.$ 

#### Theorem

Let  $\lambda, k$  and r be integers such that  $k \geq r \geq \lambda \geq 0$  and  $k > \lambda$ . There is a bijection  $\Phi$  between  $\mathcal{D}_{\eta} \times \mathcal{B}_{0}(\alpha_{1}, \ldots, \alpha_{\lambda}; \eta, k, r)$  and  $\overline{\mathcal{B}}_{1}(\alpha_{1}, \ldots, \alpha_{\lambda}; \eta, k, r)$ , namely, for a pair  $(\zeta, \mu) \in \mathcal{D}_{\eta} \times \mathcal{B}_{0}(\alpha_{1}, \ldots, \alpha_{\lambda}; \eta, k, r)$ , we have  $\pi = \Phi(\zeta, \mu) \in \overline{\mathcal{B}}_{1}(\alpha_{1}, \ldots, \alpha_{\lambda}; \eta, k, r)$  such that  $|\pi| = |\zeta| + |\mu|$ .

## The sketch of proof

- The bijection  $\Phi$  is constructed via merging  $\zeta$  and  $\mu \in \mathcal{B}_0(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r)$  to produce an overpartition  $\pi$  in  $\overline{\mathcal{B}}_1(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r)$ .
- Obeserve that there are no overlined parts divisible by  $\eta$  in  $\mu$ . Moreover, if  $\mu_i \leq \mu_{i+k-2} + \eta$  with strict inequality if  $\mu_i$  is overlined, then  $[\mu_i/\eta] + \cdots + [\mu_{i+k-2}/\eta] \equiv r 1 + \overline{V}(\mu_i) \pmod{2}$ .
- Let  $\zeta = (\eta \zeta_1, \dots, \eta \zeta_c, \eta \zeta_{c+1} \dots, \eta \zeta_{c+m})$  be a partition with distinct parts divisible by  $\eta$  where  $\zeta_1 > \dots > \zeta_c > N \ge \zeta_{c+1} > \dots > \zeta_{c+m} > 0$ , where N is the number of (k-1)-marked parts in  $RG(\mu)$ .
- In fact, the bijection  $\Phi$  consists of two steps. The first step is to merge the parts  $\eta\zeta_{c+1}, \eta\zeta_{c+2}, \ldots, \eta\zeta_{c+m}$  and  $\mu$  so that the congruence condition does not hold.
- The second step is to merge the remaining parts  $\eta\zeta_1, \eta\zeta_2, \ldots, \eta\zeta_c$  of  $\zeta$  and  $\mu$  to generate certain ovelined parts divisible by  $\eta$ .

# The sketch of the proof of Bressoud's conjecture

$$(-q^{\eta}; q^{\eta})_{\infty} \sum_{n \geq 0} A_0(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

$$= \sum_{n \geq 0} \overline{A}_1(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

$$\stackrel{M2}{=} \sum_{n \geq 0} \overline{B}_1(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

$$\stackrel{M1}{=} (-q^{\eta}; q^{\eta})_{\infty} \sum_{n \geq 0} B_0(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n$$

•

# The relation between $\overline{B}_0$ and $B_1$

### Theorem (He-Ji-Zhao, 2022)

For 
$$k > r \ge \lambda \ge 0$$
 and  $k - 1 > \lambda \ge 0$ ,

$$\sum_{n\geq 0} \overline{B}_0(\alpha_1,\ldots,\alpha_{\lambda};\eta,\mathbf{k},\mathbf{r};\mathbf{n})q^n$$

$$= (-q^{\eta}; q^{\eta})_{\infty} \sum_{n>0} \underline{B_1}(\alpha_1, \dots, \alpha_{\lambda}; \eta, k-1, r; n) q^n.$$

For 
$$k-1 > \lambda \geq 0$$
,

$$\sum_{n>0} \overline{B}_0(\alpha_1,\ldots,\alpha_{\lambda};\eta,k,k;n) q^n$$

$$= (-q^{\eta}; q^{\eta})_{\infty} \sum \underline{B_1}(\alpha_1, \dots, \alpha_{\lambda}; \eta, k-1, k-1; n) q^n.$$

## An application of this relation

$$\begin{split} &\sum_{n\geq 0} \overline{A}_0(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n \\ &= (-q^{\eta}; q^{\eta})_{\infty} \sum_{n\geq 0} A_1(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n \\ &\stackrel{\textit{Kim's result}}{=} (-q^{\eta}; q^{\eta})_{\infty} \sum_{n\geq 0} B_1(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n \\ &\stackrel{\textit{M1}}{=} \sum_{n\geq 0} \overline{B}_0(\alpha_1, \dots, \alpha_{\lambda}; \eta, k, r, n) q^n \end{split}$$

# An overpartition analogue of Bressoud's conjecture for j = 0

## Theorem (He-Ji-Zhao)

Let  $\lambda$ , k and r be the integers such that  $k \ge r \ge \lambda \ge 0$  and  $k-1 > \lambda$ . Then for  $n \ge 0$ ,

$$\overline{A}_0(\alpha_1,\ldots,\alpha_{\lambda};\eta,\mathbf{k},\mathbf{r},\mathbf{n}) = \overline{B}_0(\alpha_1,\ldots,\alpha_{\lambda};\eta,\mathbf{k},\mathbf{r},\mathbf{n}).$$



Thomas Y. He, Kathy Q. Ji and Alice X.H. Zhao, Overpartitions and Bressoud's conjecture, I, Adv. Math. 404 (2022), Paper No. 108449, 81 pp.

## The corresponding *q*-identities

We also obtain the following analytic form with the aid of Bailey's pair. For  $k \ge r > \lambda \ge 0$ ,

$$\begin{split} \sum_{N_{1} \geq \cdots \geq N_{k-1} \geq 0} \frac{q^{\eta(N_{1}^{2} + \cdots + N_{k-1}^{2} + N_{r} + \cdots + N_{k-1})}}{(q^{\eta}; q^{\eta})_{N_{1} - N_{2}} \cdots (q^{\eta}; q^{\eta})_{N_{k-2} - N_{k-1}} (q^{(2-j)\eta}; q^{(2-j)\eta})_{N_{k-1}}} \\ \times \prod_{s=1}^{\lambda} (-q^{\eta - \alpha_{s} - \eta N_{s}}; q^{\eta})_{N_{s}} \prod_{s=2}^{\lambda} (-q^{\eta - \alpha_{s} + \eta N_{s-1}}; q^{\eta})_{\infty} \\ \times (1 + q^{-\eta N_{r}})(-q^{\eta - \eta N_{\lambda+1}}; q^{\eta})_{N_{\lambda+1} - 1} (-q^{\eta + \eta N_{\lambda}}; q^{\eta})_{\infty} \\ = (-q^{\eta}; q^{\eta})_{\infty} (-q^{\alpha_{1}}, \dots - q^{\alpha_{\lambda}}; q^{\eta})_{\infty} \\ \times \frac{(q^{(r - \frac{\lambda}{2})\eta}, q^{(2k - r - \frac{\lambda}{2} + j - 1)\eta}, q^{(2k - \lambda + j - 1)\eta}; q^{(2k - \lambda + j - 1)\eta})_{\infty}}{(q^{\eta}; q^{\eta})_{\infty}}. \end{split}$$

# Overpartition analogues of some classical partition theorems

By the relation of  $\overline{B}_0$  and  $B_1$  and the relation of  $\overline{B}_1$  and  $B_0$ , we could obtain overpartition analogues of some classical partition theorems:

- Euler's partition theorem (new)
- Rogers-Ramanujan identities
- The Rogers-Ramanujan-Gordon identity
- The Rogers-Ramanujan-Bressoud identity
- The Göllnitz-Gordon identity (new)
- Andrews' generalization of Göllnitz-Gordon identity (new)
- Bressoud's generalization of Göllnitz-Gordon identity (new)

# An overpartition analogue of Euler's partition theorem

#### Theorem

- Let  $\overline{B}_0(-;1,3,2;n)$  denote the number of overpartitions  $\pi=(\pi_1,\pi_2,\ldots,\pi_\ell)$  of n, where  $\pi_i-\pi_{i+2}\geq 1$  with strict inequality if  $\pi_i$  is non-overlined for  $1\leq i\leq \ell-2$ , and for  $1\leq i\leq \ell-1$ , if  $\pi_i\leq \pi_{i+1}+1$  with strict inequality if  $\pi_i$  is overlined, then  $\pi_i+\pi_{i+1}\equiv 1+\overline{V}_\pi(\pi_i)\pmod{2}$ .
- Let  $A_0(-;1,3,2;n)$  denote the number of overpartitions of n such that non-overlined parts  $\not\equiv 0,\pm 2 \pmod{5}$ .

Then, for  $n \ge 0$ ,

$$\overline{A}_0(-;1,3,2;n) = \overline{B}_0(-;1,3,2;n).$$

# An overpartition analogue of Euler's partition theorem

**Remark:** For an overpartition  $\pi=(\pi_1,\pi_2,\ldots,\pi_\ell)$  counted by  $\overline{B}_0(-;1,3,2;\textbf{\textit{n}})$ , if there are no overlined parts in  $\pi$ , then

$$\overline{V}_{\pi}(\pi_i) = 0$$
 for  $1 \le i \le \ell$ .

This implies that if  $\pi_i \leq \pi_{i+1} + 1$ ,

$$\pi_i + \pi_{i+1} \equiv 1 + \overline{V}_{\pi}(\pi_i) = 1 \pmod{2}.$$

Hence we deduce that  $\pi_i > \pi_{i+1}$  for  $1 \le i \le \ell - 1$ . Therefore,  $\pi$  is a partition into distinct parts. For this reason, the above theorem can be perceived as an overpartition analogue of Euler's partition theorem.

# An overpartition analogue of Euler's partition theorem

The generating function version takes the form:

$$\begin{split} &\sum_{\textit{N}_1 \geq \textit{N}_2 \geq 0} \frac{q^{\textit{N}_1^2 + \textit{N}_2^2 + \textit{N}_2} (1 + q^{-\textit{N}_2}) (-q^{1-\textit{N}_1};q)_{\textit{N}_1 - 1}}{(q;q)_{\textit{N}_1 - \textit{N}_2} (q^2;q^2)_{\textit{N}_2}} \\ &= \frac{(-q;q)_{\infty} (q^2,q^3,q^5;q^5)_{\infty}}{(q;q)_{\infty}}. \end{split}$$

# Bressoud's conjecture

## Conjecture (Bressoud)

Let  $\lambda,\ k,\ r$  and j=0 or 1 be the integers such that  $(2k+j)/2>r\geq \lambda\geq 0$ . Then

$$\begin{split} & \sum_{n \geq 0} B_{j}(\alpha_{1}, \dots, \alpha_{\lambda}; \eta, k, r, n) q^{n} \\ & = \sum_{N_{1} \geq \dots \geq N_{k-1} \geq 0} \frac{q^{\eta(N_{1}^{2} + \dots + N_{k-1}^{2} + N_{r} + \dots + N_{k-1})}}{(q^{\eta}; q^{\eta})_{N_{1} - N_{2}} \cdots (q^{\eta}; q^{\eta})_{N_{k-2} - N_{k-1}} (q^{(2-j)\eta}; q^{(2-j)\eta})_{N_{k-1}}} \\ & \times \prod_{s=1}^{\lambda} (-q^{\eta - \alpha_{s} - \eta N_{s}}; q^{\eta})_{N_{s}} \prod_{s=2}^{\lambda} (-q^{\eta - \alpha_{s} + \eta N_{s-1}}; q^{\eta})_{\infty}. \end{split}$$

Problem: How to give a direct proof of Bressoud's conjecture?

# An overpartition analogue of Bressoud's conjecture

## Conjecture (He-Ji-Zhao)

Let  $\lambda,\ k,\ r$  and j=0 or 1 be the integers such that  $(2k+j)/2>r\geq\lambda\geq0$ . Then

$$\begin{split} & \sum_{n \geq 0} \overline{B}_{j}(\alpha_{1}, \dots, \alpha_{\lambda}; \eta, k, r, n) q^{n} \\ & = \sum_{N_{1} \geq \dots \geq N_{k-1} \geq 0} \frac{q^{\eta(N_{1}^{2} + \dots + N_{k-1}^{2} + N_{r} + \dots + N_{k-1})}}{(q^{\eta}; q^{\eta})_{N_{1} - N_{2}} \cdots (q^{\eta}; q^{\eta})_{N_{k-2} - N_{k-1}} (q^{(2-j)\eta}; q^{(2-j)\eta})_{N_{k-1}}} \\ & \times (1 + q^{-\eta N_{r}}) (-q^{\eta - \eta N_{\lambda+1}}; q^{\eta})_{N_{\lambda+1} - 1} (-q^{\eta + \eta N_{\lambda}}; q^{\eta})_{\infty} \\ & \times \prod_{l=1}^{\lambda} (-q^{\eta - \alpha_{s} - \eta N_{s}}; q^{\eta})_{N_{s}} \prod_{l=1}^{\lambda} (-q^{\eta - \alpha_{s} + \eta N_{s-1}}; q^{\eta})_{\infty}. \end{split}$$

Problem: How to give a direct proof of this conjecture?

