# Proof of a Conjecture of Hirschhorn and Sellers on Overpartitions

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#### Abstract

Let  $\bar{p}(n)$  denote the number of overpartitions of n. It was conjectured by Hirschhorn and Sellers that  $\bar{p}(40n+35)\equiv 0\pmod{40}$  for  $n\geq 0$ . Employing 2-dissection formulas of theta functions due to Ramanujan, and Hirschhorn and Sellers, we obtain a generating function for  $\bar{p}(40n+35)$  modulo 5. Using the (p,k)-parametrization of theta functions given by Alaca, Alaca and Williams, we prove the congruence  $\bar{p}(40n+35)\equiv 0\pmod{5}$  for  $n\geq 0$ . Combining this congruence and the congruence  $\bar{p}(4n+3)\equiv 0\pmod{8}$  for  $n\geq 0$  obtained by Hirschhorn and Sellers, and Fortin, Jacob and Mathieu, we confirm the conjecture of Hirschhorn and Sellers.

# 1 Introduction

The objective of this paper is to give a proof of a conjecture of Hirschhorn and Sellers on the number of overpartitons. We shall use the technique of dissections of theta functions.

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Let us begin with some notation and terminology on q-series and partitions. We adopt the common notation

(1.1) 
$$(a;q)_{\infty} = \prod_{n=0}^{\infty} (1 - aq^n),$$

where |q| < 1, and we write

$$(1.2) (a_1, a_2, \dots, a_n; q)_{\infty} = (a_1; q)_{\infty} (a_2; q)_{\infty} \cdots (a_n; q)_{\infty}.$$

Recall that the Ramanujan theta function f(a, b) is defined by

(1.3) 
$$f(a,b) = \sum_{n=-\infty}^{\infty} a^{n(n+1)/2} b^{n(n-1)/2},$$

where |ab| < 1. The Jacobi triple product identity can be restated as

$$f(a,b) = (-a, -b, ab; ab)_{\infty}.$$

Here is a special case of (1.3), namely,

(1.5) 
$$f(-q) = f(-q, -q^2) = \sum_{n=-\infty}^{\infty} (-1)^n q^{n(3n-1)/2} = (q; q)_{\infty}.$$

For any positive integer n, we use  $f_n$  to denote  $f(-q^n)$ , that is,

(1.6) 
$$f_n = (q^n; q^n)_{\infty} = \prod_{k=1}^{\infty} (1 - q^{nk}).$$

The function  $f_n$  is related to the generating function of overpartitions. A partition of a positive integer n is a nonincreasing sequence of positive integers whose sum is n. An overpartition of n is a partition in which the first occurrence of a number may be overlined, see Corteel and Lovejoy [CL]. For  $n \geq 1$ , let  $\bar{p}(n)$  denote the number of overpartitions of n, and we set  $\bar{p}(0) = 1$ . Corteel and Lovejoy [CL] showed that the generating function for  $\bar{p}(n)$  is given by

(1.7) 
$$\sum_{n=0}^{\infty} \bar{p}(n)q^n = \frac{f_2}{f_1^2}.$$

Hirschhorn and Sellers [HS-1], and Fortin, Jacob and Mathieu [FJM] obtained the following Ramanujan-type generating function formulas for  $\bar{p}(2n+1)$ ,  $\bar{p}(4n+3)$ , and  $\bar{p}(8n+7)$ :

(1.8) 
$$\sum_{n=0}^{\infty} \bar{p}(2n+1)q^n = 2\frac{f_2^2 f_8^2}{f_1^4 f_4},$$

(1.9) 
$$\sum_{n=0}^{\infty} \bar{p}(4n+3)q^n = 8\frac{f_2f_4^6}{f_1^8},$$

(1.10) 
$$\sum_{n=0}^{\infty} \bar{p}(8n+7)q^n = 64\frac{f_2^{22}}{f_1^{23}}.$$

The above identities lead to congruences modulo 2, 8 and 64 for the overpartition function. Mahlburg [M] proved that  $\bar{p}(n)$  is divisible by 64 for almost all n by using relations between  $\bar{p}(n)$  and the number of representations of n as a sum of squares. Using the theory of modular forms, Treneer [T] showed that the coefficients of a wide class of weakly holomorphic modular forms have infinitely many congruence relations for powers of every prime p other than 2 and 3. In particular, Treneer [T] proved that  $\bar{p}(5m^3n) \equiv 0 \pmod{5}$  for any n that is coprime to m, where m is a prime satisfying  $m \equiv -1 \pmod{5}$ .

The following conjecture was posed by Hirschhorn and Sellers [HS-1].

Conjecture 1.1. For  $n \geq 0$ ,

$$\bar{p}(40n + 35) \equiv 0 \pmod{40}.$$

To prove the above conjecture, we derive a generating function for  $\bar{p}(40n+35)$  modulo 5 by using 2-dissection formulas for quotients of theta functions given by Ramanujan [B], and Hirschhorn and Sellers [HS-2]. Then we use the (p, k)-parametrization of theta functions due to Alaca, Alaca and Williams [AAW, AW, W] to show that  $\bar{p}(40n+35) \equiv 0 \pmod{5}$  for  $n \geq 0$ . Combining this congruence and the congruence  $\bar{p}(4n+3) \equiv 0 \pmod{8}$  for  $n \geq 0$ , we confirm the conjecture.

# 2 The generating function

In this Section, we derive a generating function of  $\bar{p}(40n + 35)$  modulo 5. We first recall several 2-dissection formulas for quotients of theta functions due to Ramanujan [B], Hirschhorn and Sellers [HS-2].

The following relations are consequences of dissection formulas of Ramanujan collected in Entry 25 in Berndt's book [B, p. 40]. Recall that  $f_n = (q^n; q^n)_{\infty}$  as given by (1.6).

Lemma 2.1. We have

(2.1) 
$$f_1^2 = \frac{f_2 f_8^5}{f_4^2 f_{16}^2} - 2q \frac{f_2 f_{16}^2}{f_8},$$

(2.2) 
$$\frac{1}{f_1^2} = \frac{f_8^5}{f_2^5 f_{16}^2} + 2q \frac{f_4^2 f_{16}^2}{f_2^5 f_8},$$

$$f_1^4 = \frac{f_4^{10}}{f_2^2 f_8^4} - 4q \frac{f_2^2 f_8^4}{f_4^2}$$

and

(2.4) 
$$\frac{1}{f_1^4} = \frac{f_4^{14}}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^{10}}.$$

Hirschhorn and Sellers [HS-2] established the following 2-dissection formula.

#### Lemma 2.2. We have

(2.5) 
$$\frac{f_5}{f_1} = \frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_4^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}}.$$

By Lemmas 2.1 and 2.2, we are led to a generating function of  $\bar{p}(40n+35)$  modulo 5.

#### Theorem 2.3. We have

$$\sum_{n=0}^{\infty} \bar{p}(40n+35)q^n \equiv 2\frac{f_2^{122}}{f_1^{63}f_4^{40}} + 3\frac{f_1f_2^{26}}{f_4^8} + 4q\frac{f_2^{98}}{f_1^{55}f_4^{24}} + 3qf_1^9f_2^2f_4^8 + 4q^2\frac{f_2^{74}}{f_1^{47}f_4^8}$$

$$(2.6) +4q^3 \frac{f_2^{50} f_4^8}{f_1^{39}} + 4q^4 \frac{f_2^{26} f_4^{24}}{f_1^{31}} + 2q^5 \frac{f_2^2 f_4^{40}}{f_1^{23}} \pmod{5}.$$

*Proof.* Recall that the theta functions  $\varphi(q)$  and  $\psi(q)$  are defined by

(2.7) 
$$\varphi(q) = f(q, q) = \sum_{n = -\infty}^{\infty} q^{n^2},$$

and

(2.8) 
$$\psi(q) = f(q, q^3) = \sum_{n=0}^{\infty} q^{\frac{n(n+1)}{2}}.$$

By the Jacobi triple product identity, we find

(2.9) 
$$\varphi(q) = \frac{f_2^5}{f_1^2 f_4^2}$$

and

(2.10) 
$$\psi(q) = \frac{f_2^2}{f_1}.$$

Replacing q by -q in (2.9) and (2.10), and using the fact that

(2.11) 
$$f(q) = (-q; -q)_{\infty} = \frac{f_2^3}{f_1 f_4},$$

we deduce that

$$\varphi(-q) = \frac{f_1^2}{f_2}$$

and

(2.13) 
$$\psi(-q) = \frac{f_1 f_4}{f_2}.$$

In view of (1.3), (1.4) and (2.7), we see that

$$\varphi(q) = \sum_{n=-\infty}^{\infty} q^{n^2} = \sum_{n=-\infty}^{\infty} q^{25n^2} + 2q \sum_{n=-\infty}^{\infty} q^{25n^2 + 10n} + 2q^4 \sum_{n=-\infty}^{\infty} q^{25n^2 + 20n}$$

$$(2.14) \qquad = \varphi(q^{25}) + 2qD(q^5) + 2q^4 E(q^5),$$

where

(2.15) 
$$D(q) = \sum_{n=-\infty}^{\infty} q^{5n^2+2n} = (-q^3, -q^7, q^{10}; q^{10})_{\infty}$$

and

(2.16) 
$$E(q) = \sum_{n=-\infty}^{\infty} q^{5n^2+4n} = (-q, -q^9, q^{10}; q^{10})_{\infty}.$$

It is easily checked that

(2.17) 
$$D(q)E(q) = \frac{f_2^2 f_5 f_{20}}{f_1 f_4}.$$

By the binomial theorem, we see that for any positive integer k,

$$(2.18) (1 - q^k)^5 \equiv (1 - q^{5k}) \pmod{5},$$

which implies that

(2.19) 
$$\varphi^5(q) \equiv \varphi(q^5) \pmod{5}.$$

Based on (1.7), (2.9) and (2.19), we have

$$\sum_{n=0}^{\infty} \bar{p}(n)(-q)^n = \frac{1}{\varphi(q)} = \frac{\varphi^4(q)}{\varphi^5(q)}$$

$$\begin{split}
&\equiv \frac{\varphi^4(q)}{\varphi(q^5)} \equiv \frac{(\varphi(q^{25}) + 2qD(q^5) + 2q^4E(q^5))^4}{\varphi(q^5)} \\
&\equiv \frac{1}{\varphi(q^5)} (\varphi^4(q^{25}) + 3q\varphi^3(q^{25})D(q^5) + 4q^2\varphi^2(q^{25})D^2(q^5) \\
&+ 2q^3\varphi(q^{25})D^3(q^5) + 3q^4\varphi^3(q^{25})E(q^5) + q^4D^4(q^5) \\
&+ 3q^5\varphi^2(q^{25})D(q^5)E(q^5) + q^6\varphi(q^{25})D^2(q^5)E(q^5) \\
&+ 4q^7D^3(q^5)E(q^5) + 4q^8\varphi^2(q^{25})E^2(q^5) + q^9\varphi(q^{25})D(q^5)E^2(q^5) \\
&+ q^{10}D^2(q^5)E^2(q^5) + 2q^{12}\varphi(q^{25})E^3(q^5) \\
&+ 4q^{13}D(q^5)E^3(q^5) + q^{16}E^4(q^5)^4) \pmod{5},
\end{split}$$

which yields

$$\sum_{n=0}^{\infty} \bar{p}(5n)(-q)^n \equiv \frac{\varphi^4(q^5) + 3q\varphi^2(q^5)D(q)E(q) + q^2D^2(q)E^2(q)}{\varphi(q)} \pmod{5}.$$

Plugging (2.9) and (2.17) into (2.21), we get

$$(2.22) \quad \sum_{n=0}^{\infty} \bar{p}(5n)(-q)^n \equiv \frac{f_1^2 f_4^2 f_{10}^{20}}{f_2^5 f_5^8 f_{20}^8} + 3q \frac{f_1 f_4 f_{10}^{10}}{f_2^3 f_5^3 f_{20}^3} + q^2 \frac{f_5^2 f_{20}^2}{f_2} \quad (\text{mod } 5).$$

Replacing q by -q in (2.22) and invoking (2.11), we arrive at

(2.23) 
$$\sum_{n=0}^{\infty} \bar{p}(5n)q^n \equiv \frac{f_2 f_5^8}{f_1^2 f_{10}^4} - 3q \frac{f_5^3 f_{10}}{f_1} + q^2 \frac{f_{10}^6}{f_2 f_5^2} \pmod{5}.$$

According to 2-dissection formulas (2.1), (2.2), (2.3), (2.5) and congruence (2.23), we obtain

$$\sum_{n=0}^{\infty} \bar{p}(5n)q^n \equiv \frac{f_2}{f_{10}^4} \left( \frac{f_8^5}{f_2^5 f_{16}^2} + 2q \frac{f_4^2 f_{16}^2}{f_2^5 f_8} \right) \left( \frac{f_{20}^{10}}{f_{10}^2 f_{40}^4} - 4q^5 \frac{f_{10}^2 f_{40}^4}{f_{20}^2} \right)^2$$

$$- 3q f_{10} \left( \frac{f_{10} f_{40}^5}{f_{20}^2 f_{80}^2} - 2q^5 \frac{f_{10} f_{80}^2}{f_{40}} \right) \left( \frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_4^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}} \right)$$

$$+ q^2 \frac{f_{10}^6}{f_2} \left( \frac{f_{40}^5}{f_{10}^5 f_{80}^2} + 2q^5 \frac{f_{20}^2 f_{80}^2}{f_{10}^5 f_{40}} \right)$$

$$\equiv \frac{f_8^5 f_{20}^2}{f_2^4 f_{10}^8 f_{16}^2 f_{40}^8} + 2q \frac{f_4^2 f_{16}^2 f_{20}^2}{f_2^4 f_8 f_{10}^8 f_{40}^8} - 3q \frac{f_8 f_{10}^2 f_{40}^4}{f_2^2 f_{80}^2} - 3q^2 \frac{f_4^3 f_{10}^3 f_{40}^6}{f_2^3 f_8 f_{20}^3 f_{80}^2}$$

$$+ q^2 \frac{f_{10} f_{40}^5}{f_2 f_{80}^2} - 3q^5 \frac{f_8^5 f_{20}^8}{f_2^4 f_{10}^4 f_{16}^2} + q^6 \frac{f_8 f_{10}^2 f_{20}^2 f_{80}^2}{f_2^2 f_{40}^2} - q^6 \frac{f_4^2 f_{16}^2 f_{20}^8}{f_2^4 f_8 f_{10}^4}$$

$$+q^{7} \frac{f_{4}^{3} f_{10}^{3} f_{80}^{2}}{f_{2}^{3} f_{8} f_{20}} + 2q^{7} \frac{f_{10} f_{20}^{2} f_{80}^{2}}{f_{2} f_{40}} + q^{10} \frac{f_{8}^{5} f_{40}^{8}}{f_{2}^{4} f_{16}^{2} f_{20}^{4}}$$

$$+ 2q^{11} \frac{f_{4}^{2} f_{16}^{2} f_{40}^{8}}{f_{2}^{4} f_{8} f_{20}^{4}} \pmod{5}.$$
(2.24)

Extracting the terms of odd powers of q on both sides of (2.24), we have

$$\sum_{n=0}^{\infty} \bar{p}(10n+5)q^{2n+1} \equiv 2q \frac{f_4^2 f_{16}^2 f_{20}^{20}}{f_2^4 f_8 f_{10}^8 f_{40}^8} - 3q \frac{f_8 f_{10}^2 f_{40}^4}{f_2^2 f_{80}^2} - 3q^5 \frac{f_8^5 f_{20}^8}{f_2^4 f_{10}^4 f_{16}^2} + q^7 \frac{f_4^3 f_{10}^3 f_{80}^2}{f_2^3 f_8 f_{20}}$$

$$(2.25) \qquad + 2q^7 \frac{f_{10} f_{20}^2 f_{80}^2}{f_2 f_{40}} + 2q^{11} \frac{f_4^2 f_{16}^2 f_{40}^8}{f_2^4 f_8 f_{20}^4} \pmod{5}.$$

Dividing both sides of (2.25) by q and replacing  $q^2$  by q, we get

$$\sum_{n=0}^{\infty} \bar{p}(10n+5)q^n \equiv 2\frac{f_2^2 f_8^2 f_{10}^{20}}{f_1^4 f_4 f_5^8 f_{20}^8} - 3\frac{f_4 f_5^2 f_{20}^4}{f_1^2 f_{40}^2} - 3q^2 \frac{f_4^5 f_{10}^8}{f_1^4 f_5^4 f_8^2}$$

$$+ q^3 \frac{f_2^3 f_5^3 f_{40}^2}{f_1^3 f_4 f_{10}} + 2q^3 \frac{f_5 f_{10}^2 f_{40}^2}{f_1 f_{20}} + 2q^5 \frac{f_2^2 f_8^2 f_{20}^8}{f_1^4 f_4 f_{10}^4} \pmod{5}.$$

Employing 2-dissection formulas (2.4), (2.5) and congruence (2.26), we deduce that

$$\begin{split} \sum_{n=0}^{\infty} \bar{p}(10n+5)q^n &\equiv 2\frac{f_2^2 f_8^2 f_{10}^{20}}{f_4 f_{20}^8} \left(\frac{f_1^{44}}{f_2^{14} f_8^4} + 4q \frac{f_2^4 f_8^4}{f_2^{10}}\right) \left(\frac{f_{10}^{14}}{f_{10}^{14} f_4^4} + 4q^5 \frac{f_{20}^2 f_{40}^4}{f_{10}^{10}}\right)^2 \\ &- 3\frac{f_4 f_{20}^4}{f_0^2} \left(\frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_3^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}}\right)^2 \\ &- 3q^2 \frac{f_5^4 f_{10}^8}{f_8^2} \left(\frac{f_1^{14}}{f_2^{14} f_8^4} + 4q \frac{f_2^4 f_8^4}{f_2^{10}}\right) \left(\frac{f_{20}^{14}}{f_{10}^{14} f_4^4} + 4q^5 \frac{f_{20}^2 f_{40}^4}{f_{10}^{10}}\right) \\ &+ q^3 \frac{f_2^3 f_{40}^2}{f_4 f_{10}} \left(\frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_3^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}}\right)^3 \\ &+ 2q^3 \frac{f_{10}^2 f_{40}^2}{f_{20}} \left(\frac{f_8 f_{20}^2}{f_2^2 f_{40}} + q \frac{f_3^3 f_{10} f_{40}}{f_2^3 f_8 f_{20}}\right) \\ &+ 2q^5 \frac{f_2^2 f_8^2 f_{20}^8}{f_4 f_{10}^4} \left(\frac{f_4^4}{f_2^{14} f_8^4} + 4q \frac{f_4^2 f_8^4}{f_2^2}\right) \\ &\equiv -3\frac{f_4 f_8^2 f_{20}^8}{f_2^4 f_{40}^4} + 2\frac{f_4^{13} f_{20}^2}{f_2^{12} f_8^2 f_{80}^8 f_8^8} + 3q \frac{f_4 f_6^6 f_{20}^2}{f_2^8 f_{80}^8 f_{80}^8} - q \frac{f_4^4 f_{10} f_{20}^5}{f_2^5 f_{40}^2} \\ &- 3q^2 \frac{f_4^{19} f_{20}^{14}}{f_2^{14} f_8^4 f_{10}^6 f_{40}^4} - 3q^2 \frac{f_4^7 f_{10}^2 f_{20}^2}{f_2^6 f_8^2} - 2q^3 \frac{f_4^7 f_8^2 f_{20}^{14}}{f_2^{10} f_{10}^6 f_{40}^4} \end{split}$$

$$+2q^{3}\frac{f_{8}f_{10}^{2}f_{20}f_{40}}{f_{2}^{2}} + q^{3}\frac{f_{8}^{3}f_{20}^{6}}{f_{2}^{3}f_{4}f_{10}f_{40}} + 2q^{4}\frac{f_{4}^{3}f_{10}^{3}f_{40}^{3}}{f_{2}^{3}f_{8}f_{20}^{2}}$$

$$+3q^{4}\frac{f_{4}^{2}f_{8}f_{20}^{3}f_{40}}{f_{2}^{4}} + 3q^{5}\frac{f_{4}^{5}f_{10}f_{40}^{3}}{f_{2}^{5}f_{8}} + 3q^{5}\frac{f_{4}^{13}f_{20}^{8}}{f_{2}^{12}f_{8}^{2}f_{10}^{4}}$$

$$+2q^{6}\frac{f_{4}f_{8}^{6}f_{20}^{8}}{f_{2}^{8}f_{10}^{4}} + q^{6}\frac{f_{4}^{8}f_{10}^{2}f_{40}^{5}}{f_{2}^{6}f_{8}^{3}f_{20}^{3}} - 2q^{7}\frac{f_{4}^{19}f_{20}^{2}f_{40}^{4}}{f_{2}^{14}f_{8}^{6}f_{10}^{2}}$$

$$-3q^{8}\frac{f_{4}^{7}f_{8}^{2}f_{20}^{2}f_{40}^{4}}{f_{20}^{10}f_{10}^{2}} + 2q^{10}\frac{f_{4}^{13}f_{80}^{8}}{f_{2}^{12}f_{8}^{2}f_{20}^{4}} + 3q^{11}\frac{f_{4}f_{8}^{6}f_{40}^{8}}{f_{2}^{8}f_{20}^{4}} \pmod{5}.$$

$$(2.27)$$

Extracting the terms of odd powers of q on both sides of (2.27), then dividing by q and replacing  $q^2$  by q, we find that

$$\sum_{n=0}^{\infty} \bar{p}(20n+15)q^n \equiv 3\frac{f_2f_4^6f_{10}^{20}}{f_1^8f_5^8f_{20}^8} - \frac{f_2^4f_5f_{10}^5}{f_1^5f_{20}^2} - 2q\frac{f_2^7f_4^2f_{10}^{14}}{f_1^{10}f_5^6f_{20}^4} + 2q\frac{f_4f_5^2f_{10}f_{20}}{f_1^2} + q\frac{f_4^3f_{10}^6}{f_1^3f_2f_5f_{20}} + 3q^2\frac{f_2^5f_5f_{20}^3}{f_1^5f_4} + 3q^2\frac{f_2^{13}f_{10}^8}{f_1^{12}f_4^2f_5^4} - 2q^3\frac{f_2^{19}f_{10}^2f_{20}^4}{f_1^{14}f_6^4f_5^2} + 3q^5\frac{f_2f_4^6f_{20}^8}{f_1^8f_{10}^4} \pmod{5}.$$
(2.28)

By (2.18), we see that

(2.29) 
$$f_5 \equiv f_1^5 \pmod{5}$$
.

Substituting (2.29) into (2.28) gives

$$\sum_{n=0}^{\infty} \bar{p}(20n+15)q^n \equiv 3 \frac{f_2^{101}}{f_1^{48}f_4^{34}} - \frac{f_2^{29}}{f_4^{10}} - 2q \frac{f_2^{77}}{f_1^{40}f_4^{18}} + 2q f_1^8 f_2^5 f_4^6 + q \frac{f_2^{29}}{f_1^8 f_4^2}$$

$$(2.30) \qquad + 3q^2 f_2^5 f_4^{14} + 3q^2 \frac{f_2^{53}}{f_1^{32}f_4^2} - 2q^3 \frac{f_2^{29}f_4^{14}}{f_1^{24}} + 3q^5 \frac{f_4^{46}}{f_1^8 f_2^{19}} \pmod{5}.$$

Combining 2-dissection formulas (2.3), (2.4) and congruence (2.30), we see that

$$\sum_{n=0}^{\infty} \bar{p}(20n+15)q^n \equiv 3\frac{f_2^{101}}{f_4^{34}} \left(\frac{f_4^{14}}{f_2^{14}f_8^4} + 4q\frac{f_4^2f_8^4}{f_2^{10}}\right)^{12} + 2qf_2^5f_4^6 \left(\frac{f_4^{10}}{f_2^2f_8^4} - 4q\frac{f_2^2f_8^4}{f_4^2}\right)^2$$
$$-\frac{f_2^{29}}{f_4^{10}} - 2q\frac{f_2^{77}}{f_4^{18}} \left(\frac{f_4^{14}}{f_2^{14}f_8^4} + 4q\frac{f_4^2f_8^4}{f_2^{10}}\right)^{10}$$
$$+q\frac{f_2^{29}}{f_4^2} \left(\frac{f_4^{14}}{f_2^{14}f_8^4} + 4q\frac{f_4^2f_8^4}{f_2^{10}}\right)^2 + 3q^2f_2^5f_4^{14}$$

$$+3q^{2}\frac{f_{2}^{53}}{f_{4}^{2}}\left(\frac{f_{4}^{14}}{f_{2}^{14}f_{8}^{4}}+4q\frac{f_{4}^{2}f_{8}^{4}}{f_{2}^{10}}\right)^{8}$$

$$-2q^{3}f_{2}^{29}f_{4}^{14}\left(\frac{f_{4}^{14}}{f_{2}^{14}f_{8}^{4}}+4q\frac{f_{4}^{2}f_{8}^{4}}{f_{2}^{10}}\right)^{6}$$

$$+3q^{5}\frac{f_{4}^{46}}{f_{2}^{19}}\left(\frac{f_{4}^{14}}{f_{2}^{14}f_{8}^{4}}+4q\frac{f_{4}^{2}f_{8}^{4}}{f_{2}^{10}}\right)^{2}$$

$$\equiv 3\frac{f_{4}^{134}}{f_{2}^{67}f_{8}^{48}}-\frac{f_{2}^{29}}{f_{4}^{10}}+2q\frac{f_{4}^{122}}{f_{2}^{63}f_{8}^{40}}+3q\frac{f_{2}f_{4}^{26}}{f_{8}^{8}}+q^{2}\frac{f_{4}^{110}}{f_{2}^{59}f_{8}^{32}}$$

$$+4q^{3}\frac{f_{4}^{98}}{f_{2}^{55}f_{8}^{24}}+3q^{3}f_{2}^{9}f_{4}^{2}f_{8}^{8}+q^{4}\frac{f_{4}^{86}}{f_{2}^{51}f_{8}^{16}}+4q^{5}\frac{f_{4}^{74}}{f_{2}^{47}f_{8}^{8}}$$

$$+4q^{7}\frac{f_{4}^{50}f_{8}}{f_{2}^{39}}+q^{8}\frac{f_{4}^{38}f_{8}^{16}}{f_{2}^{35}}+4q^{9}\frac{f_{4}^{26}f_{8}^{24}}{f_{2}^{31}}+q^{10}\frac{f_{4}^{14}f_{8}^{32}}{f_{2}^{27}}$$

$$+2q^{11}\frac{f_{4}^{2}f_{8}^{40}}{f_{2}^{23}}+3q^{12}\frac{f_{8}^{48}}{f_{2}^{19}f_{4}^{40}} \pmod{5}.$$

Extracting the terms of odd powers of q on both sides of (2.31), then dividing by q and replacing  $q^2$  by q, we obtain (2.6). This completes the proof.  $\Box$ 

# 3 Proof of Conjecture 1.1

In this section, we use the (p, k)-parametrization of theta functions given by Alaca, Alaca and Williams [AAW, AW, W] to represent the generating function of  $\bar{p}(40n + 35)$  modulo 5 as a linear combination of functions in p and k, where p and k are defined in terms of the theta function  $\varphi(q)$  as given by

$$p := p(q) = \frac{\varphi^2(q) - \varphi^2(q^3)}{2\varphi^2(q^3)}$$

and

(3.1) 
$$k := k(q) = \frac{\varphi^3(q^3)}{\varphi(q)},$$

see Alaca, Alaca and Williams [AAW]. Williams [W] proved that

$$(3.2) p = 2\frac{f_2^3 f_3^3 f_{12}^6}{f_1 f_4^2 f_9^6}.$$

We have the following congruence.

Theorem 3.1. For  $n \geq 0$ ,

(3.3) 
$$\bar{p}(40n + 35) \equiv 0 \pmod{5}$$
.

*Proof.* The following representations of  $q^{\frac{1}{24}}f_1$ ,  $q^{\frac{1}{12}}f_2$  and  $q^{\frac{1}{6}}f_4$  in terms of p and k are due to Alaca and Williams [AW],

$$(3.4) q^{\frac{1}{24}} f_1 = 2^{-\frac{1}{6}} p^{\frac{1}{24}} (1-p)^{\frac{1}{2}} (1+p)^{\frac{1}{6}} (1+2p)^{\frac{1}{8}} (2+p)^{\frac{1}{8}} k^{\frac{1}{2}},$$

$$(3.5) q^{\frac{1}{12}} f_2 = 2^{-\frac{1}{3}} p^{\frac{1}{12}} (1-p)^{\frac{1}{4}} (1+p)^{\frac{1}{12}} (1+2p)^{\frac{1}{4}} (2+p)^{\frac{1}{4}} k^{\frac{1}{2}}$$

and

$$(3.6) q^{\frac{1}{6}} f_4 = 2^{-2/3} p^{\frac{1}{6}} (1-p)^{\frac{1}{8}} (1+p)^{\frac{1}{24}} (1+2p)^{\frac{1}{8}} (2+p)^{\frac{1}{2}} k^{\frac{1}{2}}.$$

Substituting (3.4), (3.5) and (3.6) into (2.6), we find that

$$(3.7) 2^{19} \sum_{n=0}^{\infty} \bar{p}(40n+35)q^n \equiv \frac{\sqrt{2}p^{7/8}(1+2p)^{21/8}(2+p)^{21/8}}{16q^{7/8}(1-p)^6(1+p)^2\sqrt{k}} F(p,k) \pmod{5},$$

where F(p, k) is given by

$$F(p,k) = 5k^{10}(524288 + 6029312p + 88735744p^{2} + 840761344p^{3} + 5072977920p^{4} + 22470361088p^{5} + 75791417344p^{6} + 196034666496p^{7} + 392385622016p^{8} + 610286094336p^{9} + 731633712128p^{10} + 663209854464p^{11} + 441020946176p^{12} + 204189055872p^{13} + 59086163776p^{14} + 8129694944p^{15} + 138932400p^{16} + 2477318p^{19} - 16585772p^{18}$$

$$(3.8) + 33708184p^{17} + 19661p^{20}).$$

By (3.4) and (3.5), we have

(3.9) 
$$\frac{f_2^{22}}{f_1^{23}} = \frac{\sqrt{2}p^{7/8}(1+2p)^{21/8}(2+p)^{21/8}}{16q^{7/8}(1-p)^6(1+p)^2\sqrt{k}}.$$

Hence (3.7) can be rewritten as

(3.10) 
$$2^{19} \sum_{n=0}^{\infty} \bar{p}(40n + 35)q^n \equiv \frac{f_2^{22}}{f_1^{23}} F(p, k) \pmod{5},$$

where F(p,k) is defined by (3.8). Clearly,  $\frac{f_2^{22}}{f_1^{23}}$  is a formal power series in q with integer coefficients. By (3.1) and (3.2), we see that p and k are also formal power series in q with integer coefficients. It can be seen that the coefficients of F(p,k) are divisible by 5. So we reach the assertion that  $\bar{p}(40n+35) \equiv 0 \pmod{5}$  for  $n \geq 0$ .

To complete the proof of Conjecture 1.1, we recall that Hirschhorn and Sellers [HS-1], and Fortin, Jacob and Mathieu [FJM] independently derived the congruence

$$\bar{p}(4n+3) \equiv 0 \pmod{8},$$

for  $n \geq 0$ . This yields

$$\bar{p}(40n + 35) \equiv 0 \pmod{8},$$

for  $n \geq 0$ . Combining (3.12) and the congruence  $\bar{p}(40n+35) \equiv 0 \pmod{5}$  for  $n \geq 0$ , we come to the conclusion that  $\bar{p}(40n+35) \equiv 0 \pmod{40}$  for  $n \geq 0$ .

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